

BLAKELY SOKOLOFF TAYLOR ZAFMAN LLP**FACSIMILE TRANSMITTAL SHEET**

Deliver to: Examiner S. Loke

Firm Name: U.S. PATENT AND TRADEMARK OFFICE Art Unit 2811

Fax Number: (703) 872-9318 Telephone No.: _____

From: William Thomas Babbitt Reg. No.: 39,591

Date: November 16, 2001 Time: _____

BSTZ Matter: 042390PS771D Number of pages including cover sheet: 16

In Re Patent Application of: Chunlin Liang and Gang Bai

Application No.: 09/517,705 ✓

Filed: March 2, 2000

Title: COMPLEMENTARY METAL GATE ELECTRODE TECHNOLOGY

Enclosed are the following documents:

<input checked="" type="checkbox"/> Amendment/Response (12 pgs)	<input type="checkbox"/> Declaration & PoFA (___ pgs)	<input type="checkbox"/> Reply Brief (___ pgs)
<input type="checkbox"/> Appeal Brief (___ pgs) (in triplicate)	<input type="checkbox"/> Drawings: ___ # of sheets w/ ___ figs.	<input type="checkbox"/> Small Entity Statement
<input type="checkbox"/> Utility Application (___ pgs)	<input checked="" type="checkbox"/> 1 Month Petition re Ext. of Time	<input type="checkbox"/> Resp. to Notice of Missing Parts (___ pgs)
<input type="checkbox"/> 1.53(b) Cont. Application (___ pgs)	<input type="checkbox"/> IDS & PTO 1449 (___ pgs)	<input checked="" type="checkbox"/> Transmittal Letter (___ pgs) (in duplicate)
<input type="checkbox"/> 1.53(b) Divisional Application (___ pgs)	<input type="checkbox"/> Issue Fee Transmittal (___ pgs)	<input checked="" type="checkbox"/> Fee Transmittal (2 pgs) (in duplicate)
<input type="checkbox"/> 1.53(b) CIP Application (___ pgs)	<input type="checkbox"/> Notice of Appeal (___ pgs)	<input checked="" type="checkbox"/> Deposit Account Authorization
<input type="checkbox"/> 1.53(d) CPA Transmittal (___ pgs)	<input type="checkbox"/> Petition re _____ (___ pgs)	<input type="checkbox"/> Other:
<input type="checkbox"/> PCT Application (___ pgs)	<input type="checkbox"/> Power of Attorney (___ pgs)	<input type="checkbox"/> Other:
<input type="checkbox"/> Provisional Application (___ pgs)	<input type="checkbox"/> Declaration by Inventor(s) (___ pgs)	<input type="checkbox"/> Other:
<input type="checkbox"/> Assignment & Cover Sheet (___ pgs)	<input type="checkbox"/> Supplemental Declaration (___ pgs)	<input type="checkbox"/> Other:
<input checked="" type="checkbox"/> Certificate of Transmission by Fax	<input type="checkbox"/> Preliminary Amendment (___ pgs)	<input type="checkbox"/> Other:

CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office on:

Date of Transmission November 16, 2001Nadya Gordon

(Typed or printed name of person transmitting paper)

(Signature of person transmitting paper)

FAX COPY RECEIVED**NOV 16 2001****TECHNOLOGY CENTER 2800****CONFIDENTIALITY NOTE**

The documents accompanying this facsimile transmission contain information from the law firm of Blakely Sokoloff Taylor & Zafman LLP that is confidential or privileged. The information is intended to be for the use of the individual or entity named on this transmission sheet. If you are not the intended recipient, be aware that any disclosure, copying, distribution, or use of the contents of this faxed information is prohibited. If you have received this facsimile in error, please notify us by telephone immediately so that we can arrange for the retrieval of the original documents at no cost to you.

IF YOU EXPERIENCE ANY DIFFICULTY IN RECEIVING THE ABOVE PAGES, PLEASE CALL (408) 720-8598 AND ASK FOR THE OPERATOR NAMED ABOVE.

PTO/SB/21(08/00)

Approved for use through 12/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	09/517.705
		Filing Date	March 2, 2000
		First Named Inventor	Chunlin Liang
		Group Art Unit	2811
		Examiner Name	Loke, S.
Total Number of Pages in This Submission	16	Attorney Docket Number	42390P5771D

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">Facsimile Transmittal Sheet</div>
Remarks		

FAX COPY RECEIVED

NOV 16 2001

TECHNOLOGY CENTER 2800

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	William Thomas Babbitt, Reg. No. 39.591 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
Signature	<i>William T. Babbitt</i>
Date	November 16, 2001

CERTIFICATE OF MAILING (OR TRANSMISSION)			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: November 16, 2001			
Typed or printed name	Nadya Gordon		
Signature	<i>Nadya Gordon</i>	Date	11/16/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL
for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 110.00**Complete if Known**

Application Number	09/317,703
Filing Date	03/02/00
First Named Inventor	Chunlin Liang, et al.
Examiner Name	Loke, S.
Group Art Unit	2811
Attorney Docket Number	42390P5771D

METHOD OF PAYMENT (check one)

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

- ☒
- Charge Any Additional Fee Required Under 37CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Money Order ☐ Other**FEE CALCULATION****1. FILING FEE**

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$)**2. CLAIMS**

Total Claims	Extra	Fee from below	Fee Paid
4			
1			

Multiple Dependent Claims

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple Dependent claim	
109	80	209	40	Reissue independent claims over original patent	
110	18	210	9	Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

*or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEE**

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920	112	920	Requesting publication of SIF prior to Examiner action	
113	1,840	113	1,840	Requesting publication of SIF after Examiner action	
115	110	215	55	Extension for response within first month	110
116	390	216	195	Extension for response within second month	
117	890	217	445	Extension for response within third month	
118	1,390	218	695	Extension for response within fourth month	
128	1,890	228	945	Extension for response within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidably	
141	1,240	241	620	Petition to revive - unintentionally	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

SUBTOTAL (3) (\$) 110.00

* Reduced by Basic Filing Fee Paid

SUBMITTED BYTyped or Printed Name William Thomas Babbitt, Reg. No. 39.591Signature William T. BabbittDate 11/16/2001**Complete (if applicable)**

Reg. Number

Deposit Account User ID

02-2666

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Bureau Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.